

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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**2020**

**Open to Public Inspection**

For calendar year **2020** or tax year beginning , **2020**, and ending , **20**

Name of foundation <b>BROADCOM FOUNDATION</b>		<b>A Employer identification number</b> 26-4754581
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	<b>B Telephone number (see instructions)</b>  ( 946 ) 464-4138
4041 MACARTHUR BLVD, STE 510		
City or town, state or province, country, and ZIP or foreign postal code  NEWPORT BEACH, CA 92660		<b>C</b> If exemption application is pending, check here. . . . . <input type="checkbox"/>
<b>G</b> Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		<b>D</b> 1. Foreign organizations, check here. . . . . <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 121,888,701.		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here. ▶ <input type="checkbox"/>
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ▶ <input type="checkbox"/>
<input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis.)		

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
3 Interest on savings and temporary cash investments	31,217.	31,217.		
4 Dividends and interest from securities . . . . .	2,489,747.	2,474,979.		
5a Gross rents . . . . .				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10	9,136,107.			
b Gross sales price for all assets on line 6a 52,064,023.				
7 Capital gain net income (from Part IV, line 2) . . . . .		9,136,107.		
8 Net short-term capital gain. . . . .				
9 Income modifications . . . . .				
10a Gross sales less returns and allowances . . . . .				
b Less: Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) <u>ATCH 1</u> . . . . .	12,343.	1,306.		
12 <b>Total.</b> Add lines 1 through 11 . . . . .	11,669,414.	11,643,609.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc. . . . .	382,131.			382,131.
14 Other employee salaries and wages . . . . .	241,088.			241,088.
15 Pension plans, employee benefits . . . . .	124,300.			124,300.
16a Legal fees (attach schedule) <u>ATCH 2</u> . . . . .	1,878.			1,878.
b Accounting fees (attach schedule) <u>ATCH 3</u> . . . . .	15,750.			15,750.
c Other professional fees (attach schedule) <u>[4]</u> . . . . .	495,104.	426,970.		68,134.
17 Interest . . . . .				
18 Taxes (attach schedule) (see instructions) <u>[5]</u> . . . . .	54,300.			
19 Depreciation (attach schedule) and depletion . . . . .				
20 Occupancy . . . . .	14,697.			14,697.
21 Travel, conferences, and meetings . . . . .	5,748.			5,748.
22 Printing and publications . . . . .	44,618.			44,618.
23 Other expenses (attach schedule) <u>ATCH 6</u> . . . . .	210,586.	32.		210,554.
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23. . . . .	1,590,200.	427,002.		1,108,898.
25 Contributions, gifts, grants paid . . . . .	4,178,709.			4,178,709.
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	5,768,909.	427,002.	0.	5,287,607.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	5,900,505.			
b <b>Net investment income</b> (if negative, enter -0-)		11,216,607.		
c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year			End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .						
	2	Savings and temporary cash investments . . . . .	6,116,956.	12,246,373.	12,246,373.			
	3	Accounts receivable ▶ <u>37,192.</u>						
		Less: allowance for doubtful accounts ▶ _____	14,530.	37,192.	37,192.			
	4	Pledges receivable ▶ _____						
		Less: allowance for doubtful accounts ▶ _____						
	5	Grants receivable . . . . .						
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .						
	7	Other notes and loans receivable (attach schedule) ▶ _____						
		Less: allowance for doubtful accounts ▶ _____						
	8	Inventories for sale or use . . . . .						
	9	Prepaid expenses and deferred charges . . . . .						
	10a	Investments - U.S. and state government obligations (attach schedule) [ 7 ]	8,787,015.	8,168,616.	8,474,628.			
	b	Investments - corporate stock (attach schedule) ATCH 8	58,011,277.	52,373,803.	75,968,777.			
	c	Investments - corporate bonds (attach schedule) ATCH 9	18,316,896.	24,321,195.	25,161,731.			
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____						
12	Investments - mortgage loans . . . . .							
13	Investments - other (attach schedule) . . . . .							
14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____							
15	Other assets (describe ▶ _____ )							
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	91,246,674.	97,147,179.	121,888,701.				
Liabilities	17	Accounts payable and accrued expenses . . . . .						
	18	Grants payable . . . . .						
	19	Deferred revenue . . . . .						
	20	Loans from officers, directors, trustees, and other disqualified persons . .						
	21	Mortgages and other notes payable (attach schedule) . . . . .						
	22	Other liabilities (describe ▶ _____ )						
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0.	0.					
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> X and complete lines 24, 25, 29, and 30.							
	24	Net assets without donor restrictions . . . . .	91,246,674.	97,147,179.				
	25	Net assets with donor restrictions . . . . .						
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.							
	26	Capital stock, trust principal, or current funds . . . . .						
	27	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .						
	28	Retained earnings, accumulated income, endowment, or other funds . .						
29	<b>Total net assets or fund balances</b> (see instructions) . . . . .	91,246,674.	97,147,179.					
30	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	91,246,674.	97,147,179.					

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	91,246,674.
2	Enter amount from Part I, line 27a . . . . .	2	5,900,505.
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	97,147,179.
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 . . . .	6	97,147,179.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	9,136,107.	
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		<b>3</b>	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.**

<b>1</b> Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
Reserved			
Reserved			
Reserved			
Reserved			
Reserved			
<b>2</b> Reserved			<b>2</b>
<b>3</b> Reserved			<b>3</b>
<b>4</b> Reserved			<b>4</b>
<b>5</b> Reserved			<b>5</b>
<b>6</b> Reserved			<b>6</b>
<b>7</b> Reserved			<b>7</b>
<b>8</b> Reserved			<b>8</b>

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, total credits and payments, and tax due. Total tax based on investment income is 155,911.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about influencing legislation, political expenditures, and reporting requirements. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. **5b**

Organizations relying on a current notice regarding disaster assistance, check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d). ATCH 10

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**  Yes  No

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		382,131.	59,611.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		197,752.	23,102.	0.

**Total number of other employees paid over \$50,000.**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 13		537,910.

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	101,702,000.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	9,588,593.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	111,290,593.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	111,290,593.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	1,669,359.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	109,621,234.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	5,481,062.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	5,481,062.
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5 . . . . .	<b>2a</b>	155,911.
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	155,911.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	5,325,151.
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	5,325,151.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	5,325,151.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26. . . . .	<b>1a</b>	5,287,607.
<b>b</b>	Program-related investments - total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<b>4</b>	5,287,607.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	5,287,607.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7 . . . . .				5,325,151.
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			5,109,120.	
<b>b</b> Total for prior years: 20 <u>18</u> , 20 <u>17</u> , 20 <u>16</u> . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015 . . . . .				
<b>b</b> From 2016 . . . . .				
<b>c</b> From 2017 . . . . .				
<b>d</b> From 2018 . . . . .				
<b>e</b> From 2019 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>5,287,607.</u>				
<b>a</b> Applied to 2019, but not more than line 2a . . . . .			5,109,120.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2020 distributable amount. . . . .				178,487.
<b>e</b> Remaining amount distributed out of corpus. . . . .				
<b>5</b> Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021. . . . .				5,146,664.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a . . . . .		0.		
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016 . . . . .				
<b>b</b> Excess from 2017 . . . . .				
<b>c</b> Excess from 2018 . . . . .				
<b>d</b> Excess from 2019 . . . . .				
<b>e</b> Excess from 2020 . . . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: Tax year (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c), 2e (Qualifying distributions made directly), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  ATCH 14				
<b>Total</b> ..... ▶ <b>3a</b>				4,178,709.
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> ..... ▶ <b>3b</b>				



Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A'.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee, Date, Title. Includes 'ELECTRONICALLY FILED' stamp and a box for 'May the IRS discuss this return with the preparer shown below?' with 'Yes' checked.

Paid Preparer Use Only: Print/Type preparer's name (JEFFREY D HASKELL), Preparer's signature (JEFFREY D HASKELL), Date (06/17/2021), Firm's name (FOUNDATION SOURCE), Firm's EIN (510398347), Firm's address (ONE HOLLOW LN, STE 212 LAKE SUCCESS, NY 11042), Phone no. (800-839-1754).

Department of the Treasury  
Internal Revenue Service

▶ Attach to the corporation's tax return.

▶ Go to [www.irs.gov/Form2220](http://www.irs.gov/Form2220) for instructions and the latest information.

**2020**

Name **BROADCOM FOUNDATION** Employer identification number **26-4754581**

**Note:** Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

**Part I Required Annual Payment**

<b>1</b>	Total tax (see instructions) . . . . .	<b>1</b>	155,911.
<b>2a</b>	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 . . . . .	<b>2a</b>	
<b>b</b>	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method . . . . .	<b>2b</b>	
<b>c</b>	Credit for federal tax paid on fuels (see instructions) . . . . .	<b>2c</b>	
<b>d</b>	<b>Total.</b> Add lines 2a through 2c . . . . .	<b>2d</b>	
<b>3</b>	Subtract line 2d from line 1. If the result is less than \$500, <b>do not</b> complete or file this form. The corporation does not owe the penalty . . . . .	<b>3</b>	155,911.
<b>4</b>	Enter the tax shown on the corporation's 2019 income tax return. See instructions. <b>Caution:</b> If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5 . . . . .	<b>4</b>	43,382.
<b>5</b>	<b>Required annual payment.</b> Enter the <b>smaller</b> of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 . . . . .	<b>5</b>	43,382.

**Part II Reasons for Filing** - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

<b>6</b>	<input type="checkbox"/>	The corporation is using the adjusted seasonal installment method.
<b>7</b>	<input checked="" type="checkbox"/>	The corporation is using the annualized income installment method.
<b>8</b>	<input type="checkbox"/>	The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

**Part III Figuring the Underpayment**

	(a)	(b)	(c)	(d)
<b>9</b> <b>Installment due dates.</b> Enter in columns (a) through (d) the 15th day of the 4th ( <b>Form 990-PF filers:</b> Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. <b>Filers with installments due on or after April 1, 2020, and before July 15, 2020, see instructions</b> . . . . .		07/15/2020	09/15/2020	12/15/2020
<b>10</b> <b>Required installments.</b> If the box on line 6 and/or line 7 above is checked, enter the amounts from Schedule A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column . . . . .	7,020.	14,799.	28,003.	48,313.
<b>11</b> Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions . . . . .	65,900.			32,400.
<b>Complete lines 12 through 18 of one column before going to the next column.</b>				
<b>12</b> Enter amount, if any, from line 18 of the preceding column . . . . .		58,880.	44,081.	16,078.
<b>13</b> Add lines 11 and 12 . . . . .		58,880.	44,081.	48,478.
<b>14</b> Add amounts on lines 16 and 17 of the preceding column . . . . .				
<b>15</b> Subtract line 14 from line 13. If zero or less, enter -0- . . . . .	65,900.	58,880.	44,081.	48,478.
<b>16</b> If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0- . . . . .				
<b>17</b> <b>Underpayment.</b> If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18 . . . . .				
<b>18</b> <b>Overpayment.</b> If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column . . . . .	58,880.	44,081.	16,078.	

Go to **Part IV** on page 2 to figure the penalty. Do not go to **Part IV** if there are no entries on line 17 - no penalty is owed.

For Paperwork Reduction Act Notice, see separate instructions.

**Part IV Figuring the Penalty**

	(a)	(b)	(c)	(d)
<b>19</b> Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. ( <b>C corporations with tax years ending June 30 and S corporations:</b> Use 3rd month instead of 4th month. <b>Form 990-PF and Form 990-T filers:</b> Use 5th month instead of 4th month.) See instructions . . . . .				
<b>20</b> Number of days from due date of installment on line 9 to the date shown on line 19. . . . .				
<b>21</b> Number of days on line 20 after 4/15/2020 and before 7/1/2020				
<b>22</b> Underpayment on line 17 x $\frac{\text{Number of days on line 21}}{366}$ x 5% (0.05)	\$	\$	\$	\$
<b>23</b> Number of days on line 20 after 6/30/2020 and before 10/1/2020				
<b>24</b> Underpayment on line 17 x $\frac{\text{Number of days on line 23}}{366}$ x 3% (0.03)	\$	\$	\$	\$
<b>25</b> Number of days on line 20 after 9/30/2020 and before 1/1/2021				
<b>26</b> Underpayment on line 17 x $\frac{\text{Number of days on line 25}}{366}$ x 3% (0.03)	\$	\$	\$	\$
<b>27</b> Number of days on line 20 after 12/31/2020 and before 4/1/2021				
<b>28</b> Underpayment on line 17 x $\frac{\text{Number of days on line 27}}{365}$ x 3% (0.03)	\$	\$	\$	\$
<b>29</b> Number of days on line 20 after 3/31/2021 and before 7/1/2021				
<b>30</b> Underpayment on line 17 x $\frac{\text{Number of days on line 29}}{365}$ x %	\$	\$	\$	\$
<b>31</b> Number of days on line 20 after 6/30/2021 and before 10/1/2021				
<b>32</b> Underpayment on line 17 x $\frac{\text{Number of days on line 31}}{365}$ x %	\$	\$	\$	\$
<b>33</b> Number of days on line 20 after 9/30/2021 and before 1/1/2022				
<b>34</b> Underpayment on line 17 x $\frac{\text{Number of days on line 33}}{365}$ x %	\$	\$	\$	\$
<b>35</b> Number of days on line 20 after 12/31/2021 and before 3/16/2022				
<b>36</b> Underpayment on line 17 x $\frac{\text{Number of days on line 35}}{365}$ x %	\$	\$	\$	\$
<b>37</b> Add lines 22, 24, 26, 28, 30, 32, 34, and 36 . . . . .	\$	\$	\$	\$
<b>38 Penalty.</b> Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns . . . . .				\$

\*Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-4933 to get interest rate information.

**Part II Annualized Income Installment Method**

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
<b>20</b> Annualization periods (see instructions) . . . . .	<b>20</b>				
<b>21</b> Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items . . . . .	<b>21</b>	226,652.	580,599.	1,052,764.	1,522,123.
<b>22</b> Annualization amounts (see instructions) . . . . .	<b>22</b>	6.00000	4.00000	2.00000	1.33333
<b>23a</b> Annualized taxable income. Multiply line 21 by line 22 . . . . .	<b>23a</b>	1,359,912.	2,322,396.	2,105,528.	2,029,492.
<b>b</b> Extraordinary items (see instructions) . . . . .	<b>23b</b>	660,119.	816,939.	2,673,516.	5,030,615.
<b>c</b> Add lines 23a and 23b . . . . .	<b>23c</b>	2,020,031.	3,139,335.	4,779,044.	7,060,107.
<b>24</b> Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return. . . . .	<b>24</b>	28,078.	43,637.	66,429.	98,135.
<b>25</b> Enter any alternative minimum tax (trusts only) for each payment period (see instructions) . . . . .	<b>25</b>				
<b>26</b> Enter any other taxes for each payment period. See instructions. . . . .	<b>26</b>				
<b>27</b> Total tax. Add lines 24 through 26 . . . . .	<b>27</b>	28,078.	43,637.	66,429.	98,135.
<b>28</b> For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions . . . . .	<b>28</b>				
<b>29</b> Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0- . . . . .	<b>29</b>	28,078.	43,637.	66,429.	98,135.
<b>30</b> Applicable percentage . . . . .	<b>30</b>	25%	50%	75%	100%
<b>31</b> Multiply line 29 by line 30 . . . . .	<b>31</b>	7,020.	21,819.	49,822.	98,135.

**Part III Required Installments**

**Note:** Complete lines 32 through 38 of one column before completing the next column.

		1st installment	2nd installment	3rd installment	4th installment
<b>32</b> If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the <b>smaller</b> of the amounts in each column from line 19 or line 31 . . . . .	<b>32</b>	7,020.	21,819.	49,822.	98,135.
<b>33</b> Add the amounts in all preceding columns of line 38. See instructions . . . . .	<b>33</b>		7,020.	21,819.	49,822.
<b>34</b> <b>Adjusted seasonal or annualized income installments.</b> Subtract line 33 from line 32. If zero or less, enter -0- . . . . .	<b>34</b>	7,020.	14,799.	28,003.	48,313.
<b>35</b> Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. <b>Note:</b> "Large corporations," see the instructions for line 10 for the amounts to enter . . . . .	<b>35</b>	10,846.	67,110.	38,978.	38,978.
<b>36</b> Subtract line 38 of the preceding column from line 37 of the preceding column . . . . .	<b>36</b>		3,826.	56,137.	67,112.
<b>37</b> Add lines 35 and 36 . . . . .	<b>37</b>	10,846.	70,936.	95,115.	106,090.
<b>38</b> <b>Required installments.</b> Enter the <b>smaller</b> of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions . . . . .	<b>38</b>	7,020.	14,799.	28,003.	48,313.



ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CLASS ACTION LAWSUIT PROCEEDS	527.	527.
FEDERAL TAX REFUND	11,037.	
FOREIGN TAX REFUNDS	779.	779.
TOTALS	<u>12,343.</u>	<u>1,306.</u>

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
GENERAL CONSULTATIONS	1,878.			1,878.
TOTALS	<u>1,878.</u>			<u>1,878.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
AUDIT FEES	15,750.			15,750.
TOTALS	<u>15,750.</u>			<u>15,750.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT SERVICES	426,970.	426,970.	
WEBSITE DEVELOPMENT	3,850.		3,850.
PHOTO/VIDEO SERVICES	2,400.		2,400.
DESIGN SERVICES	61,884.		61,884.
TOTALS	<u>495,104.</u>	<u>426,970.</u>	<u>68,134.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
990-PF ESTIMATED TAX FOR 2020	54,300.
TOTALS	<u>54,300.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
ADMINISTRATIVE FEES	96,240.		96,240.
BANK CHARGES	32.	32.	
CELL PHONE EXPENSE	1,553.		1,553.
COMPUTERS/ELECTRONIC DEVICES	7,468.		7,468.
INSURANCE PREMIUMS	15,442.		15,442.
IT SUPPORT EXPENSES	11,099.		11,099.
OFFICE SUPPLIES	1,290.		1,290.
PAYROLL PROCESSING FEES	6,734.		6,734.
POSTAGE/DELIVERY SERVICE	891.		891.
STATE OR LOCAL FILING FEES	160.		160.
TRAINING & PROFESSIONAL DEVELO	20.		20.
WEBSITE HOSTING/SUPPORT	10,522.		10,522.
FOUNDATION CREDIT CARD FEE	95.		95.
PROMOTIONAL ITEMS	57,190.		57,190.
EQUIVALENCY DETERMINATION FEES	1,850.		1,850.
TOTALS	<u>210,586.</u>	<u>32.</u>	<u>210,554.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
US T - 2.375% - 05/15/2027	1,077,226.	1,115,689.
US T - 2.500% - 02/28/2026	1,068,014.	1,108,589.
US T NTS - 2.000% - 11/15/2026	974,530.	1,088,639.
US TIPS - 0.375% - 01/15/2027	1,105,477.	1,202,803.
US TURY - 1.750% - 11/15/2029	876,687.	864,591.
US TURY - 2.875% - 05/15/2028	1,156,952.	1,158,789.
 US OBLIGATIONS TOTAL	 <u>6,258,886.</u>	 <u>6,539,100.</u>
 ALAMEDA CORRIDOR TRANSN - 5.00	 27,884.	 27,851.
ANAHEIM CALIF PUB FING - 5.000	23,772.	24,096.
ANAHEIM CALIF UN HIGH SCH - 3.	11,026.	11,185.
BEAVER WTR DIST ARK BENTON - 4	10,070.	10,028.
BERNE UN OHIO LOC SCH DIST - 4	34,201.	34,863.
CALIFORNIA HEALTH FACS FING -	20,260.	20,274.
CALIFORNIA HEALTH FACS FING -	16,161.	16,470.
CALIFORNIA MUN FIN - 2.000% -	10,013.	10,007.
CALIFORNIA ST VETERANS - 1.900	10,000.	10,163.
CALUMET CITY ILL GO REF - 3.25	22,060.	22,160.
CARSON CALIF REDEV - 4.125% -	38,230.	38,198.
CENTRAL BASIN MUN WTR DIST - 5	29,948.	30,845.
CHICAGO ILL O HARE INTL ARPT -	35,000.	35,124.
CHICAGO ILL TRAN AUTH - 3.052%	42,360.	42,998.
CHICAGO ILL TRAN AUTH CAP - 5.	15,442.	15,282.
COLORADO HSG & FIN AUTH - 2.30	35,000.	35,537.
CONNECTICUT ST GO BDS SER. 201	10,666.	10,599.
COOK CNTY ILL CMNTY - 5.000% -	21,100.	21,562.
COOK CNTY ILL CMNTY COLLEGE -	36,963.	37,677.
DANA POINT CALIF - 1.841% - 09	24,359.	25,047.
DETROIT MICH SEW DISP REV - 5.	18,736.	19,065.
EL RANCHO CALIF UNI - 0.000% -	8,494.	8,627.
HAMILTON CNTY OHIO HEALTH CARE	10,516.	10,817.
HANFORD CALIF JT UN HIGH SCH D	12,672.	13,103.
HESPERIA CALIF CMNTYREDEV - 3.	33,356.	33,411.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ILLINOIS FIN AUTH REV - 2.110%	30,000.	30,271.
ILLINOIS ST SALES TAX REV - 5.	12,138.	12,253.
INDIANA ST FIN AUTH REV - 2.88	10,627.	10,777.
INDUSTRY CALIF SALESTAX - 4.62	33,639.	34,337.
JEFFERSON LA SALES TAX DIST -	32,514.	32,939.
LAGRANGE CNTY IND REGL - 2.050	15,000.	15,172.
LANCASTER CALIF REDEV - 4.000%	28,743.	29,145.
MC FARLAND CALIF UNISCH DIST -	35,000.	35,180.
MCLEANSBORO ILL ELEC - 1.800%	25,000.	25,013.
MCLEANSBORO ILL ELECREV - 1.40	15,000.	15,051.
MIAMI-DADE CNTY FLA - 5.000% -	16,093.	16,263.
MICHIGAN FIN AUTH REV - 2.954%	37,780.	38,378.
MISSISSIPPI DEV BK SPL OBLIG S	10,241.	10,199.
MOUNTAIN HSE FING - 4.000% - 1	11,786.	11,937.
MUNCIE IND ECONOMIC DEV REV -	21,433.	21,730.
NACOGDOCHES CNTY TEXHOSP - 4.0	10,419.	10,377.
NATOMAS UNI SCH DISTCALIF GO R	8,606.	8,832.
NEW HAVEN CONN - 4.434% - 08/0	40,670.	41,185.
NEW YORK N Y CITY - 2.681% - 0	35,416.	35,489.
NEW YORK N Y CITY INDL DEV - 4	11,858.	12,266.
NEWARK N J - 3.750% - 11/01/20	10,789.	10,779.
NORTH HUDSON SEW AUTH - 3.078%	38,005.	38,321.
NORWALK CALIF CMNTY FACS FING	29,644.	30,324.
NOVATO CALIF SPL TAXCMNTY - 4.	23,401.	23,889.
ONONDAGA NY - 2.291% - 12/01/2	19,884.	19,996.
PARK CREEK MET DIST COLO REV -	21,741.	22,394.
PEORIA ILL GO REF BDS - 5.000%	36,870.	37,279.
PHILADELPHIA PA AUTHFOR - 0.00	33,499.	33,786.
PUERTO RICO COMWLTH HWY &TRAN	10,115.	10,199.
PUERTO RICO HSG FIN - 5.000% -	37,748.	37,780.
RHODE ISLAND HSG & MTG FIN COR	15,124.	15,756.
RICHMOND CALIF JT PWRS FING -	25,384.	25,567.
RIO CALIF ELEM SCH DIST - 2.22	40,000.	40,312.
RIO CALIF ELEM SCH DIST CMNTY	35,018.	36,541.
RIVER IS PUB FING AUTH CALIF -	41,130.	41,980.



FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ROCK ISLAND ILL - 4.000% - 12/	35,167.	35,647.
ROSEDALE-RIO BRAVO CALIF - 2.6	35,000.	35,209.
ROSEMONT ILL GO CORPPURP - 4.0	27,811.	28,227.
ROSEVILLE CALIF JT UN HIGH SCH	18,829.	18,997.
SAN BERNARDINO CALIFCITY - 2.4	10,000.	10,267.
SAN DIEGO CALIF REDEV - 0.000%	14,271.	14,539.
SAN JOAQUIN CALIF DELTA CMNTY	41,567.	41,985.
SAPULPA OKLA MUN AUTH - 1.512%	35,000.	35,742.
SOUTH JERSEY TRANSN AUTH - 2.3	35,268.	36,154.
SOUTHEAST WILLIAMSONCNTY - 2.0	34,631.	35,084.
ST JOHNS CNTY FLA INDL - 2.500	14,932.	15,010.
ST JOHNS CNTY FLA INDL - 2.875	19,895.	20,006.
STEPHENSON CNTY ILL SCH DIST -	16,745.	18,484.
STOCKTON CALIF PORT DIST PORT	11,456.	11,689.
VIRGINIA ST HSG DEV - 2.163% -	30,000.	30,298.
WASHINGTON TWP CALIFHEALTH - 4	11,264.	11,390.
WEST CONTRA COSTA CALIF - 2.61	36,053.	36,181.
WEST MIFFLIN PA GO TAXABLE - 3	10,483.	10,604.
WILLIAMSON CNTY ILL CMNTY UNIT	21,520.	21,803.
WOODBURY CNTY IOWA LAW ENFORCE	20,000.	20,165.
YUBA CITY CALIF UNI SCH DIST -	11,234.	11,361.
STATE OBLIGATIONS TOTAL	<u>1,909,730.</u>	<u>1,935,528.</u>
US AND STATE OBLIGATIONS TOTAL	<u>8,168,616.</u>	<u>8,474,628.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
3M CO	714,305.	873,950.
ABBOTT LABS	465,646.	656,940.
ACCENTURE PLC	293,526.	979,538.
ADOBE SYSTEMS, INC	762,470.	800,192.
ALIBABA GROUP HOLDING LTD	581,595.	512,006.
ALLIANZ AGIC CONVERTIBLE INS	701,762.	730,850.
ALPHABET INC CL A	627,828.	1,007,768.
AMAZON COM	373,535.	1,221,349.
AMERICAN WATER WORKS COMPANY I	217,420.	767,350.
AMGEN INC	414,225.	747,240.
ANALOG DEVICES INC	269,270.	960,245.
APPLE INC	589,473.	1,791,315.
ARTISAN SMALL CAP FD ADV	527,254.	551,264.
AVALONBAY CMNTYS INC	468,138.	481,290.
BANK OF AMERICA CORP	597,667.	727,440.
BARON INTERNATIONAL GROWTH FUN	694,697.	916,072.
BERKSHIRE HATHAWAY INC. CLASS	515,521.	927,480.
BLACKROCK INC	242,449.	901,925.
BLACKROCK TECHNOLOGY OPPORTUNI	391,949.	778,200.
BRISTOL-MYERS SQUIBB CO	778,150.	899,435.
BROADCOM INC	667,744.	985,163.
CHEVRON CORP	671,899.	591,150.
CISCO SYSTEMS INC	792,097.	805,500.
COLUMBIA MORTGAGE OPPORTUNITIE	1,000,000.	1,027,778.
COMCAST CORP	555,155.	655,000.
CONSTELLATION BRANDS INC	668,188.	766,675.
COSTCO WHOLESALE CORPORATION	412,047.	941,950.
CVS CAREMARK CORP	498,810.	601,040.
DIAMOND HILL CORPORATE CREDIT	710,227.	710,414.

ATTACHMENT 8 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DOMINION ENERGY INC	616,763.	752,000.
FACEBOOK INC	731,526.	1,092,640.
FASTENAL COMPANY	254,142.	610,375.
FEDERATED KAUFMANN SM CAP	367,660.	568,298.
FIDELITY ADVISOR HEALTH CARE C	518,506.	527,264.
FLAHERTY&CRUMRINE CLAYMORE P	1,024,801.	1,405,800.
GARMIN LTD	400,039.	598,300.
GENUINE PARTS COMPANY	543,567.	602,580.
GOLDMAN SACHS GROUP PFD SER J	260,000.	273,300.
HOME DEPOT INC	233,281.	796,860.
INTEL CORP	936,441.	934,125.
INTERCONTINENTAL EXCHANGE, INC	632,905.	979,965.
INVESCO OPPENHEIMER GLOBAL OPP	558,055.	617,077.
INVESCO PREFERRED ETF	1,125,213.	1,220,800.
JOHN HANCOCK INTERNATIONAL COR	488,212.	618,430.
JOHNSON & JOHNSON	486,304.	865,590.
JP MORGAN CHASE	373,323.	953,025.
JPMORGAN EMERGING MARKETS EQUI	350,509.	373,366.
KRAFT HEINZ CO	431,641.	519,900.
L3HARRIS TECHNOLOGIES	597,120.	567,060.
LOCKHEED MARTIN CORP	451,721.	709,960.
LOOMIS SAYLES GLOBAL ALLOCATIO	572,371.	554,128.
MATTHEWS ASIA-PACIFIC FUND	569,106.	762,920.
MERCK & CO INC	516,193.	899,800.
MFS INTERNATIONAL DIVERSIFICAT	470,551.	558,728.
MICROSOFT CORP	180,152.	1,334,520.
MONDELEZ INTERNATIONAL INC	429,339.	584,700.
MORGAN STANLEY INSTI FD TR MID	555,273.	577,572.
MORGAN STANLEY PFD SER E - 7.1	228,975.	235,280.

ATTACHMENT 8 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MORGAN STANLEY PFD SER F - 6.8	202,894.	213,750.
NEW PERSPECTIVE FUND, CLASS F	527,644.	696,069.
NEXTERA ENERGY, INC	160,429.	771,500.
NIKE INC-CL B	188,433.	848,820.
PAYCHEX	446,132.	931,800.
PEPSICO INC	382,807.	667,350.
PGIM GLOBAL TOTAL RETURN FUND	502,632.	502,646.
PIMCO DIVERSIFIED INCOME FUND	1,075,673.	1,092,978.
PIMCO FLOATING INCOME INSTITUT	939,320.	949,427.
PIMCO GNMA FD INSTITUTIONAL CL	2,023,314.	2,028,630.
PIMCO INCOME FUND	2,724,922.	2,713,427.
PIMCO MORTGAGE OPPORTUNITIES F	332,195.	331,742.
PIMCO UNCONSTRAINED TAX MANAGE	725,877.	750,228.
PNC FINANCIAL SERVICES PFD SER	601,106.	602,550.
PUBLIC STORAGE INC	470,894.	577,325.
RAYTHEON TECHNOLOGIES CORP	524,028.	607,835.
ROPER INDUSTRIES	450,897.	625,081.
SALESFORCE.COM	770,804.	712,096.
STARBUCKS CORP COM	437,893.	855,840.
STRYKER CORPORATION	196,234.	918,900.
T. ROWE PRICE EMERGING MARKETS	307,323.	377,341.
T. ROWE PRICE GROUP	517,669.	756,950.
TAIWAN SEMICONDUCTOR MFG CO LT	263,043.	1,417,520.
THE COCA-COLA CO	374,313.	438,720.
THERMO FISHER SCIENTIFIC INC	551,306.	931,560.
TJX COMPANIES INC	415,349.	546,320.
UNION PACIFIC	271,078.	728,770.
UNITEDHEALTH GROUP INC	357,136.	964,370.
V F CORP	291,087.	683,280.

ATTACHMENT 8 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VANGUARD INFORMATION TECHNOLOG	388,999.	1,238,265.
VANGUARD INTL GROWTH FD ADMIRA	668,324.	1,070,142.
VERIZON COMMUNICATIONS	850,650.	881,250.
VICTORY SMALL COMPANY OPPORTUN	552,580.	553,590.
VICTORY SYCAMORE ESTABLISHED V	556,656.	568,758.
VISA INC	356,674.	1,093,650.
WASTE MANAGEMENT INC	612,502.	648,615.
WELLS FARGO PFD 5.25%	248,250.	260,800.
TOTALS	<u>52,373,803.</u>	<u>75,968,777.</u>

ATTACHMENT 9FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ACTAVIS FUNDING BD - 3.450% -	1,009,270.	1,025,930.
ALLERGAN PLC - 3.250% - 10/01/	30,995.	30,888.
AMERICAN EXPRESS CO - 5.200% -	142,950.	148,688.
ANHEUSER-BUSCH - 3.650% - 02/0	1,092,990.	1,130,122.
BANK AMER CORP - 4.125% - 01/2	833,970.	831,251.
BANK OF AMERICA - 6.250% - 12/	165,150.	166,434.
BANK OF AMERICA CORP JR SUBORD	259,375.	285,625.
BANK OF MONTREAL - 2.350% - 09	965,340.	1,035,190.
BANK OF NOVA SCOTIA - 2.700% -	999,810.	1,102,550.
BP CAP MKTS PLC BOND - 3.224%	997,860.	1,073,470.
BROADCOM INC SER B - 4.700% -	1,146,650.	1,145,970.
CAPITAL ONE FINANCIAL - 3.900%	1,094,920.	1,095,380.
CAPITAL ONE FINL CORP NOTE - 3	766,158.	755,085.
CITIGROUP INC - 5.950% - 12/29	253,750.	262,400.
CITIGROUP INC BND - 3.300% - 0	1,247,492.	1,441,647.
CITIGROUP INC BOND - 6.250% -	255,625.	286,740.
COMCAST CORP NOTE - 3.950% - 1	851,263.	858,919.
FIFTH THIRD BANCORP BOND PERPE	411,141.	425,400.
GENERAL MTRS FINL CO INC NOTE	1,088,710.	1,096,490.
GENERAL MTRS FINL COINC BOND P	258,125.	260,000.
GOLDMAN SACHS GROUP INC DTD -	991,010.	1,001,860.
GOLDMAN SACHS PERP - 5.300% -	163,155.	163,833.
HOWARD UNIV SER 2020B - 1.991%	40,998.	40,685.
JPMORGAN CHASE & CO - 3.200% -	1,523,969.	1,588,384.
JPMORGAN CHASE & CO - 5.150% -	252,500.	258,130.
JPMORGAN CHASE NOTE - 4.625% -	300,000.	296,205.
ORACLE CORP - 2.950% - 04/01/2	1,135,380.	1,114,933.
PNC FIN - 6.750% - 12/31/2049	166,188.	153,713.
ROYAL BK CDA SER H - 1.150% -	1,004,900.	1,021,870.

ATTACHMENT 9 (CONT'D)FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
Schwab Charles Corp - 0.000% -	468,063.	464,750.
Schwab Charles Corp Perpetual	100,000.	101,750.
Starbucks Corp Com - 3.550% -	1,148,960.	1,163,700.
Verizon Communications Inc - 4	1,112,410.	1,178,689.
Walt Disney - 2.300% - 02/12/2	750,713.	751,313.
Wells Fargo & Co New Depositar	266,875.	283,438.
Wells Fargo - 3.550% - 09/29/2	1,024,530.	1,120,299.
TOTALS	<u>24,321,195.</u>	<u>25,161,731.</u>

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
52064023.		PUBLICLY-TRADED SECURITIES 42927916.					9,136,107.	
TOTAL GAIN(LOSS) .....							<u>9,136,107.</u>	



ATTACHMENT 10FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: UNIVERSITA DEGLI STUDI DI PAVIA  
GRANTEE'S ADDRESS: CORSO STRADA NUOVA 65  
CITY, STATE & ZIP: PAVIA  
FOREIGN COUNTRY: ITALY  
GRANT DATE: 11/20/2012  
GRANT AMOUNT: 25,000.  
GRANT PURPOSE: TO SUPPORT SCIENTIFIC RESEARCH  
AMOUNT EXPENDED: 25,000.  
ANY DIVERSION? NO  
DATES OF REPORTS: SEE GENERAL EXPLANATION FOR DATES OF REPORTS  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NO VERIFICATION WAS NECESSARY

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT (CONT'D)

GRANTEE'S NAME: UNIVERSITA DEGLI STUDI DI PAVIA

GRANT DATE: 11/20/2012

ADDITIONAL DATES OF ANNUAL REPORTS: 1/10/14, 9/12/14, 5/15/15, 9/15/16,  
5/12/17, 12/14/18, 6/12/19ALL REPORTING OBLIGATIONS FOR THIS GRANT HAVE BEEN MET; THEREFORE, THE  
FOUNDATION WILL NOT INCLUDE AN EXPENDITURE RESPONSIBILITY REPORT FOR THIS  
GRANT ON ITS FUTURE FORMS 990-PF.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
PAULA GOLDEN 4041 MACARTHUR BLVD, STE 510 NEWPORT BEACH, CA 92660	EXECUTIVE DIR, PRES 40.00	272,951.	30,438.	0.
CARL MCKINZIE 4041 MACARTHUR BLVD, STE 510 NEWPORT BEACH, CA 92660	DIR 1.00	0.	0.	0.
HENRY SAMUELI 4041 MACARTHUR BLVD, STE 510 NEWPORT BEACH, CA 92660	DIR 1.00	0.	0.	0.
MARIA WRONSKI 4041 MACARTHUR BLVD, STE 510 NEWPORT BEACH, CA 92660	CFO, SEC 20.00	109,180.	29,173.	0.
	GRAND TOTALS	<u>382,131.</u>	<u>59,611.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
NICOLAOS ALEXOPOULOS 4041 MACARTHUR BLVD, SUITE 501 NEWPORT BEACH, CA 92660	FOUNDATION PERSON 20.00	109,180.	3,093.
CAROL MCDONALD 4041 MACARTHUR BLVD, SUITE 501 NEWPORT BEACH, CA 92660	EXECUTIVE ADMIN 40.00	88,571.	20,009.
	TOTAL COMPENSATION	<u>197,752.</u>	<u>23,102.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
COVINGTON CAPITAL MANAGEMENT 601 SOUTH FIGUEROA ST, STE 2000 LOS ANGELES, CA 900175726	INVESTMENT MGMT	379,786.
LITCO 2422 GUTHRIE COURT LOS ANGELES, CA 90034	DESIGN	61,884.
FOUNDATION SOURCE 55 WALLS DRIVE 3RD FL FAIRFIELD, CT 06824	ADMINISTRATIVE	96,240.
	TOTAL COMPENSATION	<u>537,910.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ALAMEDA COUNTY SCIENCE AND ENGINEERING FAIR ASSOCI 2622 YORK ST WEST LINN, OR 97068	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	3,000.
ARIZONA SCIENCE CENTER 600 E WASHINGTON ST PHOENIX, AZ 85004	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	5,000.
AUSTIN SCIENCE EDUCATION FOUNDATION 704 W GIBSON ST AUSTIN, TX 78704	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	5,000.
BAY AREA DISCOVERY MUSEUM 557 MCREYNOLDS RD SAUSALITO, CA 94965	N/A PC	MOBILE STEM EDUCATION "TRY IT TRUCK" FOR BAY AREA	10,000.
BLACK GIRLS CODE INC PO BOX 640926 SAN FRANCISCO, CA 94164	N/A PC	SILICON VALLEY ACADEMY	5,000.
BOYS & GIRLS CLUBS NORTH SAN MATEO COUNTY 201 W ORANGE AVE S SAN FRAN, CA 94080	N/A PC	GENERAL & UNRESTRICTED	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CALIFORNIA ACADEMY OF SCIENCES 55 MUSIC CONCOURSE DR GOLDEN GATE P SAN FRANCISCO, CA 94118	N/A PC	SCIENCE ACTION CLUB SUPPORT	5,000.
CALIFORNIA SCIENCE CENTER FOUNDATION 700 EXPOSITION PARK DR LOS ANGELES, CA 90037	N/A PC	SUPPORT FOR CA DELEGATES FROM CALIFORNIA SCIENCE & ENGINEERING FAIR TO ATTEND ISEF	20,000.
CALIFORNIA STATE UNIVERSITY EAST BAY FOUNDATION IN 25800 CARLOS BEE BLVD SA 2750 HAYWARD, CA 94542	N/A PC	SUMMER CODING PROGRAM ALIGNED WITH STEM ECOSYSTEM EXPANSION TO PROVIDE STEM PATHWAYS FOR UNDERREPRESENTED YOUTH IN HAYWARD, SAN LEANDRO AND SAN LORENZO	25,000.
CHILDREN NOW 1404 FRANKLIN ST STE 700 OAKLAND, CA 94612	N/A PC	GENERAL SUPPORT FOR CALIFORNIA STEM ECOSYSTEMS INITIATIVE	20,000.
CHILDREN NOW 1404 FRANKLIN ST STE 700 OAKLAND, CA 94612	N/A PC	OVERSIGHT OF BAY AREA STEM ECOSYSTEM AND EXPANDING ECOSYSTEM CAPACITY AS A REGIONAL LEADER THROUGH OUTREACH AND ENGAGEMENT OF HAYWARD, SAN LEANDRO, AND SAN LORENZO UNIFIED SCHOOL DISTRICTS WITH TARGETED FOCUS ON STEM ACCESS AND PATHWAYS FOR UNDERREPRESENTED STUDENTS	100,000.
CHILDREN NOW 1404 FRANKLIN ST STE 700 OAKLAND, CA 94612	N/A PC	TWO CONVENING OF STEM STAKEHOLDERS TO EXAMINE ISSUES OF SUSTAINABILITY OF CALIFORNIA STEM ECOSYSTEMS AND ALIGNMENT WITH CAL STATE UNIVERSITY SYSTEM IN ECOSYSTEM REGIONS	10,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
COLORADO STATE SCIENCE FAIR INC CSU CAMPUS DELIVERY 1802 FORT COLLINS, CO 80523	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	5,000.
COMMUNIVERSITY FOUNDATION 1107Y LAKEVIEW DR FAIRFIELD, IA 52556	N/A PC	RASPBERRY PI WORKSHOP IN SOUTH AFRICA TOWNSHIP	3,000.
COMPUTER HISTORY MUSEUM 1401 N SHORELINE BLVD MOUNTAIN VIEW, CA 94043	N/A PC	BROADCOM PRESENTS: DESIGN_CODE_BUILD PROJECT	87,500.
CORNELL UNIVERSITY 260 DAY HALL ITHACA, NY 14853	N/A PC	SCIENCE HOUSE FOR AFRICAN YOUTH (SHAY) - INSTITUTE'S AFRICAN SCIENCE BUSKERS FESTIVAL	7,500.
DISCOVERY SCIENCE FOUNDATION 2500 N MAIN ST SANTA ANA, CA 92705	N/A PC	2020 ISEF PROGRAM DEVELOPMENT	20,000.
DISCOVERY SCIENCE FOUNDATION 2500 N MAIN ST SANTA ANA, CA 92705	N/A PC	DISCOVERY SCIENCE CUBE OF ORANGE COUNTY'S STEM EDUCATION OUTREACH/CODING PROGRAMS	125,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
DR NELSON YING ORANGE COUNTY SCIENCE EXPOSITION IN PO BOX 22887 LK BUENA VIS, FL 32830	N/A PC	GENERAL & UNRESTRICTED	250.
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	(SFN) NATIONAL STEM ECOSYSTEM INITIATIVES (TIES)	100,000.
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	ISRAEL STEM ECOSYSTEM INITIATIVE (TIES)	25,000.
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	STEM ECOSYSTEM CONVENING OF OC/MEXICO ECOSYSTEM TO EXPLORE TEACHER TRAINING NEXT GENERATION SCIENCE STANDARDS AND DEVELOPMENT OF SPANISH LANGUAGE CODING WITH RASPBERRY PI	2,500.
FRIENDS OF THE UNIVERSITY OF HONG KONG LTD 1321 SYDNEY DR SUNNYVALE, CA 94087	N/A PC	ASIA/PACIFIC UNIVERSITY STUDENT WORKSHOP SUPPORT	125,000.
GIRL SCOUTS OF ORANGE COUNTY 9500 TOLEDO WAY IRVINE, CA 92618	N/A PC	STEM BADGE AND CODING ACTIVITIES	20,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
GIRLS INCORPORATED OF ORANGE COUNTY 1801 E EDINGER AVE SANTA ANA, CA 92705	N/A PC	AFTERSCHOOL STEM PROJECT	10,000.
GREATER SAN DIEGO SCIENCE AND ENGINEERING FAIR INC PO BOX 15547 SAN DIEGO, CA 92175	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	2,500.
GWINNETT COUNTY PUBLIC SCHOOLS FOUNDATION FUND INC 437 OLD PEACHTREE RD NW SUWANEE, GA 30024	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	5,000.
IRVINE PUBLIC SCHOOLS FOUNDATION 1 POST STE 250 IRVINE, CA 92618	N/A PC	SUPPORT FOR IRVINE PUBLIC SCHOOL DISTRICT SCIENCE FAIR	30,000.
LOUISVILLE REGIONAL SCIENCE & ENGINEERING FAIR PO BOX 8184 LOUISVILLE, KY 40257	N/A PC	GENERAL & UNRESTRICTED	250.
MASSACHUSETTS SCIENCE & ENGINEERING FAIR INC 955 MASSACHUSETTS AVE #350 CAMBRIDGE, MA 02139	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
MINNESOTA ACADEMY OF SCIENCE 970 RAYMOND AVE STE 103 SAINT PAUL, MN 55114	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	5,000.
NCTU FOUNDATION PO BOX 2793 CUPERTINO, CA 95015	N/A PC	NATIONAL CHAIO TUNG UNIVERSITY FOR HOSTING THE ASIA/PACIFIC UNIVERSITY STUDENT WORKSHOP	50,000.
OCTANE FOUNDATION FOR INNOVATION 65 ENTERPRISE ALISO VIEJO, CA 92656	N/A SO I	DEVELOPMENT AND IMPLEMENTATION OF GUIDELINES AND APPLICATION OF POST GRADUATE - ENTREPRENEURIAL AND INCUBATOR METHODOLOGIES FOR STUDENT PARTICIPANTS IN UNIVERSITY WORKSHOPS	30,000.
ORANGE COUNTY COMMUNITY FOUNDATION 4041 MACARTHUR BLVD STE 510 NEWPORT BEACH, CA 92660	N/A PC	GENERAL & UNRESTRICTED	5,000.
ORANGE COUNTY COMMUNITY FOUNDATION 4041 MACARTHUR BLVD STE 510 NEWPORT BEACH, CA 92660	N/A PC	SUPPORT FOR OC WORKFORCE DEVELOPMENT INITIATIVES	10,000.
ORANGE COUNTY COMMUNITY FOUNDATION 4041 MACARTHUR BLVD STE 510 NEWPORT BEACH, CA 92660	N/A PC	COVID-19 RELIEF FUND	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ORANGE COUNTY SCIENCE AND ENGINEERING FAIR INC PO BOX 11151 SANTA ANA, CA 92711	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	50,000.
PENANG SCIENCE CLUSTER WISMA YEAP CHOR EE 37 GAT LEBUH CHI PENANG MALAYSIA 10200	N/A PC	GENERAL & UNRESTRICTED	30,000.
RASPBERRY PI FOUNDATION NORTH AMERICA INC 548 MARKET ST PMB 16362 SAN FRANCISCO, CA 94104	N/A PC	COOLEST PROJECTS IN NORTH AMERICA	20,000.
RASPBERRY PI FOUNDATION NORTH AMERICA INC 548 MARKET ST PMB 16362 SAN FRANCISCO, CA 94104	N/A PC	SUPPORT FOR PI ACADEMY IN THE US	30,000.
RASPBERRY PI FOUNDATION NORTH AMERICA INC 548 MARKET ST PMB 16362 SAN FRANCISCO, CA 94104	N/A PC	KIDS CODE JEUNESSE, CODE CLUB EXPENSES RELATED TO RECEIVING AND SHIPPING LAPTOPS TO CANADA	3,500.
RBSEF INC PO BOX 13314 READING, PA 19612	N/A PC	SUPPORT FOR REGIONAL SCIENCE FAIR	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES 220 WESTWOOD PLZ RM B10 LOS ANGELES, CA 90095	N/A PC	UCLA BROADCOM FELLOWSHIP PROGRAM, UNDER THE DIRECTION OF THE DEAN OF THE HENRY SAMUELI SCHOOL OF ENGINEERING & APPLIED SCIENCES	150,000.
RENAISSANCE YOUTH CENTER 3485 3RD AVE BRONX, NY 10456	N/A PC	CODE THE BRONX PROGRAM	25,000.
RENAISSANCE YOUTH CENTER 3485 3RD AVE BRONX, NY 10456	N/A PC	COVID-19 SUPPORT FUND FOR SUMMER 2020	50,000.
RIVERSIDE COUNTY OFFICE OF EDUCATION FOUNDATION 3939 13TH ST RIVERSIDE, CA 92501	N/A PC	RIVERSIDE REGIONAL SCIENCE FAIR SUPPORT	2,500.
RURAL UTAH PROJECT EDUCATION FUND 323 S 600 E STE 130 SALT LAKE CTY, UT 84102	N/A PC	NAVAJO & HOPI FAMILIES COVID-19 RELIEF FUND	20,000.
SALVATION ARMY SERVICES INC 30840 HAWTHORNE BLVD RCH PALOS VRD, CA 90275	N/A PC	CALIFORNIA, OREGON AND WASHINGTON WILDFIRE RELIEF FUND	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
SAN MATEO COUNTY OFFICE OF EDUCATION 101 TWIN DOLPHIN DR REDWOOD CITY, CA 94065	N/A GOV	SUPPORT FOR SAN MATEO COUNTY REGIONAL SCIENCE FAIR	15,000.
SANTA CLARA VALLEY SCIENCE FAIR ASN PO BOX 307 LOS ALTOS, CA 94023	N/A PC	SUPPORT FOR THE REGIONAL SCIENCE FAIR	2,500.
SCIENCE BUDDIES 560 VALLEY WAY MILPITAS, CA 95035	N/A PC	SUPPORT FOR INCREASING ONLINE SCIENCE & ENGINEERING PROGRAMS	50,000.
SILICON VALLEY EDUCATION FOUNDATION 1400 PARKMOOR AVE, STE 200 SAN JOSE, CA 95126	N/A PC	ELEVATE MATH PROGRAM	20,000.
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	BROADCOM MASTERS PROGRAM	1,819,959.
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	ISEF QUALIFYING CALIFORNIA DELEGATION	28,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	ISEF SPONSORSHIP DIRECTORS BREAKFAST	30,000.
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	ISEF SPONSORSHIP OUTREACH	300,000.
SOUTH SAN FRANCISCO FRIENDS OF THE LIBRARY 840 W ORANGE AVE S SAN FRAN, CA 94080	N/A PC	EXPANDED COLLABORATION WITH THE EAST BAY STEM NETWORK WITH A FOCUS ON TARGETED OUTREACH AND ENGAGEMENT WITH THE HAYWARD, SAN LEANDRO AND SAN LORENZO UNIFIED SCHOOL DISTRICTS TO EXPAND ACCESS TO STEM INSTRUCTION TO UNDER-SERVED STUDENT POPULATIONS	20,000.
THE AMERICAN IRELAND FUND 10 POST OFC SQ STE 1205 BOSTON, MA 02109	N/A PC	THE UNIVERSITY COLLEGE DUBLIN FOUNDATION CLG - EMEA UNIVERSITY WORKSHOP SUPPORT	62,500.
THE FOUNDATION AT FCOE 1111 VAN NESS AVE 3RD FL FRESNO, CA 93721	N/A PC	CENTRAL VALLEY REGIONAL SCIENCE FAIR (FRESNO)	5,000.
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 100 THEORY, STE 250 IRVINE, CA 92617	N/A PC	FABCAMP SCHOLARSHIP FUND AND OC OUTREACH FOR UNDERSERVED MIDDLE SCHOOLERS	35,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION - THE H 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	ASIA/PACIFIC UNIVERSITY STUDENT WORKSHOP SUPPORT	50,000.
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION - THE H 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	OC STEM ECOSYSTEM INITIATIVE	110,000.
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION - THE H 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	UCI BROADCOM FELLOWSHIP FUND	150,000.
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION - THE H 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	UCI K-14 FUTURE INNOVATORS INITIATIVE & ASPIRE/INSPIRE PROGRAMS	75,000.
UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE 9201 UNIVERSITY CITY BLVD CHARLOTTE, NC 28223	N/A GOV	GENERAL & UNRESTRICTED	250.
WORKING WARDROBES FOR A NEW START 92 CORPORATE PARK STE C IRVINE, CA 92606	N/A PC	GENERAL & UNRESTRICTED	3,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	NATIONAL STEM FUNDERS NETWORK ANNUAL FUND	10,000.
ONEOC 1901 E 4TH ST SANTA ANA, CA 92705	N/A PC	OC STEM FUNDERS NETWORK ANNUAL FUND	20,000.
TOTAL CONTRIBUTIONS PAID			<u>4,178,709.</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 15

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
CLASS ACTION LAWSUIT PROCEEDS			14	527.	
FEDERAL TAX REFUND			01	11,037.	
FOREIGN TAX REFUNDS			01	779.	
TOTALS				<u>12,343.</u>	

<b>Cumulative e-File History 2020</b>	
<b>Federal</b>	
Locator:	8200RI
Account:	N43C
Taxpayer Name:	Broadcom Foundation
Return Type:	990, 990PF
Submitted Date:	06/30/2021 08:06:02
Acknowledgement Date:	06/30/2021 08:30:08
Status:	Accepted
Submission ID:	06391520211815000032